β -Olefination of 2-Alkynoates Leading to Trisubstituted 1,3-Dienes

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ABSTRACT

A phosphine-mediated olefination of 2-alkynoates with aldehydes forming 1,3-dienes with high *E*-selectivity and up to 88% yield is described. Reaction conditions are optimized and reactions are demonstrated for various aryl, alkyl, and alkenyl aldehydes and for ethyl 2-alkynoates with different substituents in the δ -position. Proof of concept is shown for the generation of a β , γ -unsaturated lactone by intramolecular olefination, and furthermore the use of the generated 1,3-dienes in the Diels–Alder reaction has been demonstrated.

Conjugated dienes are abundant in a wide range of natural products,¹ and furthermore dienes constitute important scaffolds in the construction of complex organic molecules.² Preparation of 1,3-dienes can be facilitated e.g. by Wittig reactions,³ the Julia olefination,⁴ transition-metal-catalyzed cross-coupling reactions,⁵ and the enyne metathesis reactions.⁶ In 1992, Trost reported the isomerization of 2-alkynoates into $\alpha,\beta,\gamma,\delta$ -dienes by a catalytic amount of triphenylphospine.⁷ The proposed mechanism⁸ for this transformation is initiated by a nucleophilic attack of phosphine on the β -carbon of the alkynoate. By a series of proton transfer reactions and phosphine elimination, the substrate is subsequently converted into a 1,3-diene with a phoshonium ylide as a key intermediate.

Phosphine-mediated reactions of 2-allenoates leading to e.g. γ -substituted α , β -unsaturated esters and 5-membered carbo- and heterocycles have been investigated extensively.⁹

Recently, Xu et al. and others have reported novel syntheses of 1,3-dienes by highly *E*-selective olefination of electron-deficient allenes with aldehydes in high yields.¹⁰ However, allenes are relatively unstable and less readily available compounds.

Here we report on a new approach where ethyl 2-alkynoates are employed as reactants for olefination reactions instead of the corresponding allenes. Alkynes serve as versatile building blocks for a wide range of useful synthetic transformations.¹¹ In the past decades

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Figure 1. Examples of phosphine-mediated transformations utilizing electron-deficient 2-alkynoates.

phosphine-activated 2-alkynoates have been utilized in numerous reactions including Michael additions,¹² α -addition,¹³ γ -addition,¹⁴ pyrrole¹⁵ and furan¹⁶ synthesis, and [3 + 2] cycloaddition reactions¹⁷ (Figure 1). Here it is demonstrated how *in situ* generated phosphonium ylides can be trapped with aldehydes in a Wittig olefination, transforming alkynoates into trisubstituted conjugated dienes and thereby expanding the scope of phosphine-mediated reactions of 2-alkynoates.



Figure 2. Reaction of 1a with 2-chlorobenzaldehyde 2a.

In our preliminary investigations we discovered that ethyl alkynoate **1a** underwent reaction with triphenylphosphine (1 equiv) and 2-chlorobenzaldehyde **2a** (0.8 equiv) in dichloromethane to afford the trisubstituted (*E*,*E*)-diene **3a** in 10% yield (Figure 2). Based on the initial result we have optimized the conditions for the reaction between alkynoate **1a** and aldehyde **2a**, and the results are given in Table 1. Various phosphines

Table 1. Optimization of Reaction Conditions for the Olefination of Alkynoate 1a with 2-Chlorobenzaldehyde $2a^a$



entry	PR_3	solvent	temp (°C)	time (h)	$\begin{array}{c} \text{conversion} \\ (\%)^{e,f} \end{array}$
1^b	PBu_3^d	CH_2Cl_2	rt	18	_
2^b	PTA	CH_2Cl_2	\mathbf{rt}	18	15
3^b	$MePPh_2$	CH_2Cl_2	\mathbf{rt}	18	32
4^b	P(2-furyl) ₃	CH_2Cl_2	\mathbf{rt}	18	_
5^b	$\mathrm{PMe_3}^d$	CH_2Cl_2	\mathbf{rt}	18	5
6^b	PCy_3^d	CH_2Cl_2	\mathbf{rt}	18	_
7^b	PCl ₃	CH_2Cl_2	\mathbf{rt}	18	_
8^b	P(OEt) ₃	CH_2Cl_2	\mathbf{rt}	18	_
9^c	PPh_3	CH_2Cl_2	100	72	9
10^c	PPh_3	MeCN	100	72	14
11^c	PPh_3	1,4-dioxane	100	72	31
12^c	$MePPh_2$	CH_2Cl_2	100	72	60
13^c	$MePPh_2$	MeCN	100	72	33
14^c	PTA	CH_2Cl_2	100	72	<5
15^c	PTA	MeCN	100	72	99 (62)
16^c	PTA	MeCN	100	16	99(75)
17^c	PTA	1,4-dioxane	100	16	99 (86)

^{*a*} Reaction conditions: **2a** (0.5 mmol), phosphine (0.6 mmol), and **1a** (0.6 mmol) were stirred in 1 mL of solvent in a sealed vial. ^{*b*} Reaction was performed under inert conditions. ^{*c*} Reaction was performed at ambient conditions. ^{*d*} The phosphine was used as its HBF₄-salt; 2 equiv of distilled Et₃N were added for activation of the phosphine. ^{*e*} Conversions were determined by ¹H NMR spectroscopy based on **2a**. ^{*f*} Isolated yields are reported in parentheses.

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were screened using dichloromethane as solvent at rt. In addition to PPh₃, application of 1,3,5-triaza-7-phosphaadamantane (PTA) and Ph₂PMe as reagents led to promising conversions in the olefination reaction.

Interestingly, increasing the temperature and changing the solvent from dichloromethane to acetonitrile or 1,4-dioxane led to a significant increase in conversion, especially when using PTA as the phosphine reagent. Furthermore, when increasing the reaction time beyond 20 h the isolated yield decreased due to degradation of the product or other side reactions over time at elevated temperatures. It was noted that application of acetonitrile as the solvent led to a lower isolated yield than use of 1,4-dioxane; hence 1,4-dioxane was used as the solvent in later reactions. To investigate whether traces of water influenced the yield of 3a, a series of reactions were performed in 1,4-dioxane with varying water content. For reactions performed in 1,4-dioxane with a water content varying from 0.1 to 3.2 mg/mL, high conversions (>95%) to the olefination product were observed.

Table 2. Synthesis of 1,3-Dienes **3** from Aldehydes **2** and Alkynoate $1a^{a}$

Ρ	OEt R ¹ (1,4-diox	PTA CHO (2) kane, 100 °C	Ph 3	,R ¹
entry	\mathbb{R}^1	time (h)	yield $(\%)^b$	E/Z^c
1	$2\text{-}ClC_{6}H_{4}\left(2a\right)$	19	86 (3a)	E only
2	$3\text{-}\mathrm{ClC}_{6}\mathrm{H}_{4}\left(\mathbf{2b}\right)$	16	$57 \left(\mathbf{3b} \right)$	E only
3	$4\text{-}\mathrm{ClC}_{6}\mathrm{H}_{4}\left(\mathbf{2c}\right)$	16	$81 \left(\mathbf{3c} \right)$	E only
4	$4\text{-FC}_{6}\text{H}_{4}\left(\mathbf{2d}\right)$	19	$77(\mathbf{3d})$	E only
5	$2-(NO_2)C_6H_4(2e)$	19	_	_
6	$3-(NO_2)C_6H_4(2f)$	15	$19\left(\mathbf{3f}\right)$	E only
7	$C_6H_5\left(\mathbf{2g}\right)$	16	68 (3g)	E only
8	$2\text{-}(CH_{3})C_{6}H_{4}\left(2\boldsymbol{h}\right)$	19	83 (3h)	E only
9	$4\text{-}(CH_{3})C_{6}H_{4}\left(2i\right)$	16	$73(\mathbf{3i})$	E only
10	$4\text{-}(CH_{3}O)C_{6}H_{4}\left(2j\right)$	16	_	_
11	$3\text{-}(CH_{3}O)C_{6}H_{4}\left(2k\right)$	16	_	_
12	3-pyridyl (21)	15	88 (31)	E only
13	2-furyl (2m)	15	70 (3m)	7:2
14	$CH=CH_2(2n)$	15	_	_
15	(E)-CH=CHPh (20)	15	_	_
16	(E) -CH=CHCH ₃ $(\mathbf{2p})$	16	$42 \left(\mathbf{3p} \right)$	E only
17	n-C ₄ H ₉ (2q)	15	53 (3q)	5:1

^{*a*} Reaction conditions: **2** (0.5 mmol), PTA (2 mmol), and **1a** (1 mmol) were stirred in 1,4-dioxane (1 mL) at 100 °C in a sealed vial. ^{*b*} Isolated yields. ^{*c*} Geometry of trisubstituted alkene, determined by ¹H NMR spectroscopy and 1D NOESY experiments.

Having established satisfactory conditions for the olefination of 1a with 2a the reactions of a variety of different aldehydes were investigated (Table 2). Moderately electron-deficient aldehydes (2a-2d) provided fair to good yields, whereas strongly electron-deficient nitrobenzaldehydes (2e and 2f) led to unsatisfactory yields. Neutral or slightly electron-rich benzaldehydes gave rise to good yields while very electron-rich aldehydes, such as 2j and **2k**, gave none of the desired product. The reaction of heteroaromatic aldehydes as well as aliphatic aldehydes afforded good to moderate yields. Furthermore, it was demonstrated how the (*E*,*E*,*E*)-triene **3p** can be formed by employing α , β -unsaturated aldehyde **2p**.



Figure 3. General structure of (*E*,*E*)-3.

Except for **3m** and **3q** the dienes **3** are formed exclusively with (E, E)-configuration. The *E*-configuration of the disubstituted alkene was confirmed by a coupling constant of 16 Hz whereas the configurations of the trisubstituted alkenes in dienes **3** have been determined by 1D NOESY experiments (see Supporting Information) showing an NOE effect from H_a to H_b/H_c (Figure 3).





entry	\mathbb{R}^2	\mathbb{R}^3	yield $(\%)^{b,c}$
1	$CH=CH_2$	$CO_2Et(1b)$	$17(\mathbf{3r})$
2	Η	$CO_2Et(\mathbf{1c})$	8(3s)
3	n-C ₃ H ₇	$CO_2Et(\mathbf{1d})$	$52 (\mathbf{3t})$
4	$n - C_6 H_{13}$	$CO_2Et(1e)$	$55(\mathbf{3u})$
5	Ph	$CN(\mathbf{1f})$	0

^{*a*} Reaction conditions: aldehyde **2a** (0.5 mmol), PTA (2 mmol), and alkyne **1** (1 mmol) were stirred in 1,4-dioxane (1 mL) at 100 °C in a sealed vial. ^{*b*} Isolated yields. ^{*c*} The products have (*E*,*E*)-geometry exclusively.

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The diversity of substrates applicable for the olefination reaction was further investigated by the reaction of different alkynes 1 with aldehyde 2a (Table 3). Interestingly, changing the phenyl substituent in 1a with an alkyl chain (1d and 1e) gave rise to fair yields of 1,3-dienes 3t and 3u while 1b afforded 1,3,5-triene 3r. Exchanging the ethyl ester with electron-poor aryls, a methyl ketone, or a nitrile (entry 5) showed no product, thereby indicating the crucial role of an activating ester moiety adjacent to the alkyne.



Figure 4. Annulation of **4** by an intramolecular Wittig olefination and Diels–Alder reaction of 1,3-diene **3a** with *N*methylmaleimide.

Cyclization reactions are of great value in the syntheses of complex structures.¹⁸ Strategic incorporation of an aldehyde in an alkynoate could be used in the syntheses of cyclic structures, and as an example a novel synthesis of **5** was performed (Figure 4). Subjecting **4** to olefination conditions resulted in annulation to **5**. In spite of the low yield the example provides proof of concept for the generation of β , γ -unsaturated lactones by taking advantage of an intramolecular Wittig olefination.

An important synthetic application of dienes leading to a variety of complex products is the Diels–Alder reaction.¹⁹ Hence, the diene **3a** was tested in a [4 + 2]cycloaddition with *N*-methylmaleimide affording 67% yield of the *endo*-isomer **6** (Figure 4).

In Figure 5 we have proposed a mechanism for the novel olefination reaction. The mechanism is derived from earlier studies on related internal redox reactions of alkynoates.⁸

The reaction is initiated by a nucleophilic attack of the phosphine on the β -position of alkynoate 1 followed by a range of proton shifts leading to phosphonium ylide 8. In the absence of an aldehyde, alkynoate 1 is known to isomerize into diene 10. Nevertheless, if an aldehyde is

present the proposed ylide 8 reacts in a Wittig reaction forming the trisubstituted 1,3-diene 3. Trace amounts of water present in the solvent might support the isomerization of 7 to 8 since rapid proton shifts are favored in the presence of a proton donor/acceptor as described in earlier studies of phosphine-mediated reactions of allenes.²⁰



Figure 5. Proposed mechanism for the formation of 3 and 10.

In summary, a highly stereoselective olefination of ethyl alkynoates yielding a variety of conjugated trisubstituted dienes in good yields has been demonstrated. The key step in this reaction is the trapping of *in situ* generated phosphonium ylides with aldehydes expanding the wide range of applications of alkynes. Furthermore, it has been shown how this olefination can be used in a cyclization reaction yielding a β , γ -unsaturated lactone while the generated 1,3-dienes show good reactivity in the Diels—Alder reaction. Further investigation on utilizing *in situ* generated ylides in synthetic transformations will be considered.

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Supporting Information Available. Experimental procedures, characterization, and ¹H NMR, ¹³C NMR, and 1D NOESY spectra. This material is available free of charge via the Internet at http://pubs.acs.org.

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